



Alinion Sentinel

Compliance Command and Control For Banks and Financial Services

Financial institutions are scrutinized more than any other industry. The amount of documentation and reporting is onerous. A single system that addresses risk and compliance and provides a single platform for execution, documentation and audit will eliminate costly manual efforts.

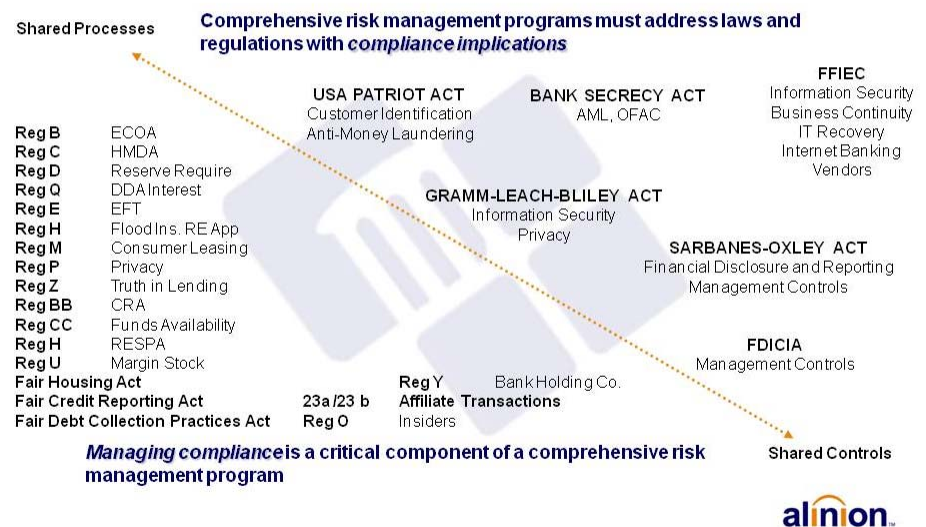
Alinion Sentinel 5.0 is used as an integrated process, risk and compliance management platform across the banking enterprise. Where risks go beyond standard or automated resolution, Sentinel can be used for the notification, rollout and tracking of the resolution process. Sentinel can be the documentation repository for occurrence and resolution.

In the bank operations area most issues are handled within standard systems. However, there are many risks that need to be handled at a manual and/or higher level. Treasury, Cash and Float Management,



Banking Compliance

Duplicate Processes / Shared Controls






Vault, Insurance, Credit, Credit Card, Fraud and Risk Management, Accounting etc, all have operational, compliance and risk issues that require manual resolution, post mortem and modification. They all have constant operational projects to research, improve and implement. They all must comply with strict management and reporting standards.

Disaster recovery and business continuity are huge issues and affect far more than IT systems. Banks are constantly reviewing their risk management and BCP. The issue has to do with the amount of physical documentation, e.g. checks, and the high-dollar/short-cycle status of much of a bank's operations. Sentinel can be used as the vehicle and repository for this research and maintenance.

In addition, the business units of banks, particularly the operational units, are a constant source of IT change and implementation. Many projects cross the business/IT boundaries, including constant assessment of improved methodologies and systems. Sentinel (with the added Requirements variable) can provide an excellent vehicle for defining and managing the many projects on both sides of the business.

Alinion Sentinel Key Benefits

Visibility

-  Dashboard and drill-downs for easy and quick access to information
-  Complete reporting on status, history and change
-  Query and filter to isolate, access and view information



Communication and Collaboration

- Assigns processes for clear delineation of ownership and action
- Monitors and manages activities and tasks in real time
- Creates remediation notification and management
- Provides role-restricted access for auditors, out-sourcing partners, suppliers and others

Multiple Compliance Mandates on Single Platform

- Relates process, events and activities to specific mandates and requirements
- All processes for multiple mandates managed from single instance
- Categories and filtering allow for quick drill down
- Innovative “hierarchical” management of processes

Sentinel’s Control Activity Management provides maximum flexibility, application and control while retaining simplicity and effectiveness. Above all, Sentinel ensures that control management and assessment maintain the integrity of all control data and events.

